# A Hypergraph Version of a Graph Packing Theorem by Bollobás and Eldridge

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**Abstract:** Two *n*-vertex hypergraphs *G* and *H* pack, if there is a bijection  $f : V(G) \rightarrow V(H)$  such that for every edge  $e \in E(G)$ , the set  $\{f(v) : v \in e\}$  is not an edge in *H*. Extending a theorem by Bollobás and Eldridge on graph packing to hypergraphs, we show that if  $n \ge 10$  and *n*-vertex hypergraphs

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*G* and *H* with  $|E(G)| + |E(H)| \le 2n - 3$  with no edges of size 0, 1, n - 1 and *n* do not pack, then either

- (i) one of *G* and *H* contains a spanning graph-star, and each vertex of the other is contained in a graph edge, or
- (ii) one of *G* and *H* has n 1 edges of size n 2 not containing a given vertex, and for every vertex *x* of the other hypergraph some edge of size n 2 does not contain *x*.

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#### 1. INTRODUCTION

By a *hypergraph* we mean a pair (V, E) where V is a finite set (elements of V are called *vertices*) and E is a family of subsets of V (members of E are called *edges*). An empty edge is also allowed. An important instance of combinatorial packing problems is that of (hyper)graph packing. Two *n*-vertex hypergraphs G and H pack, if there is a bijection  $f : V(G) \rightarrow V(H)$  such that for every edge  $e \in E(G)$ , the set  $\{f(v) : v \in e\}$  is not an edge in H. For graphs, this means that G is a subgraph of the complement  $\overline{H}$  of H, or, equivalently, H is a subgraph of the complement  $\overline{G}$  of G.

Some milestone results on extremal graph packing problems were obtained in the seventies. At the same time, fundamental papers by Bollobás and Eldridge [1] and Sauer and Spencer [7] have appeared. The papers gave sufficient conditions for packing of graphs under different conditions. Some of these results were also obtained by Catlin in his Ph.D. Thesis [3] and in [2]. Surveys on the topic are [10] and [9].

In particular, Sauer and Spencer [7] proved the following.

**Theorem 1** ([7]). Let G and H be n-vertex graphs with |E(G)| + |E(H)| < 1.5n - 1. Then G and H pack.

The result is sharp, since if *H* is the star  $K_{1,n-1}$  and *G* is the graph with  $\lceil n/2 \rceil$  edges and minimum degree 1, then  $|E(G)| + |E(H)| = \lceil 1.5n \rceil - 1$  but *G* and *H* do not pack. An important feature of this example is that *H* has a universal vertex. By a *universal vertex* in a hypergraph *F* we mean a vertex *v* such that for every other vertex  $w \in V(F)$ , the graph edge *vw* belongs to E(F).

Bollobás and Eldridge [1] obtained the following refinement of Theorem 1.

**Theorem 2** ([1]). Let G and H be n-vertex graphs with  $|E(G)| + |E(H)| \le 2n - 3$ . If neither of G and H has a universal vertex, and the pair  $\{G, H\}$  is none of the seven pairs in Figure 1, then G and H pack.

Corollary 1 in [1] yields that Theorem 2 can be restated as follows.

**Theorem 3** ([1]). Let G and H be n-vertex graphs with  $|E(G)| + |E(H)| \le 2n - 3$ . Then G and H do not pack if and only if either  $\{G, H\}$  is one of the seven pairs in Figure 1, or one of G and H has a universal vertex and the other has no isolated vertices.

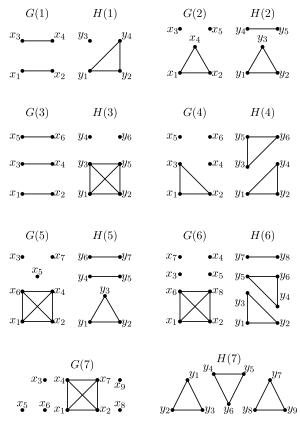


FIGURE 1. Bad pairs in Theorem 2.

To see that Theorem 3 yields Theorem 1, observe that for each pair (G, H) in Figure 1,  $|E(G)| + |E(H)| = 2n - 3 \ge 1.5n - 1$  and that if H has a universal vertex and G has no isolated vertices, then  $|E(G)| + |E(H)| \ge (n - 1) + \lceil n/2 \rceil$ .

If *G* and *H* are *n*-vertex nonuniform hypergraphs, then packing may become more complicated. By *i*-edge we will mean an edge of size *i*. Sometimes, edges of size 2 will be called *graph edges*, and edges of size at least 3 will be called *hyperedges*.

Edges of size 0, 1, n - 1 or n make harder for hypergraphs to pack. For example, if V(G) is an edge in G and V(H) is an edge in H, then G and H do not pack. Similarly, if  $\emptyset$  is an edge in both G and H, then G and H do not pack. Also if the total number of 1-edges or the total number of n - 1 edges in G and H is at least n + 1, then G and H again do not pack. These examples indicate that edges of size i and n - i behave similarly. Indeed, a bijection  $f : V(G) \to V(H)$  maps edge  $e \in E(G)$  onto edge  $g \in E(H)$  if and only if it maps set V(G) - e onto V(H) - g. This motivates our notion of the *orthogonal hypergraph*: For a hypergraph F, the *orthogonal hypergraph*  $F^{\perp}$  has the same set of vertices as F and  $E(F^{\perp}) := \{V(F) - e : e \in E(F)\}$ . By definition, two *n*-vertex hypergraphs G and H pack if and only if  $G^{\perp}$  and  $H^{\perp}$  pack.

Pilśniak and Woźniak [5] proved that if an *n*-vertex hypergraph G has at most n/2 edges and neither  $\emptyset$  nor V(G) is an edge in G, then G packs with itself. They also asked whether

every such G packs with each n-vertex hypergraph H satisfying the same conditions. Recently, Naroski [4] proved the following stronger result.

**Theorem 4.** Let G and H be n-vertex hypergraphs with no 0-edges and n-edges. If  $|E(G)| + |E(H)| \le n$ , then G and H pack.

By the above examples, the bound of n in Theorem 4 is sharp. We will prove a corresponding bound for *n*-vertex hypergraphs with no 0-, 1-, (n - 1)-, and *n*-edges. This result also generalizes Theorem 3 and extends it to hypergraphs.

We define a *bad pair* of hypergraphs to be either one of the pairs (G(i), H(i)) in Figure 1, or one of the pairs  $(G(i)^{\perp}, H(i)^{\perp})$ .

Our main result is the following.

**Theorem 5.** Let G and H be n-vertex hypergraphs with  $|E(G)| + |E(H)| \le 2n - 3$  containing no 0-, 1-, (n - 1)-, and n-edges. Let  $|E(G)| \le |E(H)|$ . Then G and H do not pack if and only if either

- (i) (G, H) or (H, G) is a bad pair, or
- (ii) H has a universal vertex and every vertex of G is incident to a graph edge, or
- (iii)  $H^{\perp}$  has a universal vertex and every vertex of  $G^{\perp}$  is incident to a graph edge.

Since each of the graphs in Figure 1 has at most nine vertices, for  $n \ge 10$ , the theorem says that . . . *G* and *H* do not pack if and only if either *H* has a universal vertex and every vertex of *G* is incident to a graph edge or  $H^{\perp}$  has a universal vertex and every vertex of  $G^{\perp}$  is incident to a graph edge. Note that the theorem is sharp even for graphs: for infinitely many *n* there are *n*-vertex graphs  $G_n$  and  $H_n$  such that |E(G)| + |E(H)| = 2n - 2, neither of  $G_n$  and  $H_n$  has a universal vertex, and  $G_n$  and  $H_n$  do not pack (see, e.g., [1,8]).

In the same way Theorem 3 yields Theorem 1, Theorem 5 yields the following extension of Theorem 1 to hypergraphs.

**Corollary 1.** Let G and H be n-vertex hypergraphs with  $|E(G)| + |E(H)| < n - 1 + \lfloor n/2 \rfloor$  containing no 0-, 1-, (n - 1)-, and n-edges. Then G and H pack.

Very recently we have learned that Pilśniak and Woźniak [6] independently obtained a weaker version of Theorem 5. They proved that *every n-vertex hypergraph with* n - 2*edges not containing* 0-, 1-, (n - 1)-, *and n-edges packs with itself*.

To prove Theorem 5, we consider a counterexample (G, H) with the fewest vertices. In the next section, we set up the proof and derive simple properties of (G, H). In Section 3, we prove two more advanced properties of (G, H). In the last section, we deliver the proof of Theorem 5.

#### 2. PRELIMINARIES

Consider a counterexample (G, H) to Theorem 5 with the least number of vertices *n*. This means that  $|E(G)| + |E(H)| \le 2n - 3$ ,  $|E(G)| \le |E(H)|$ , neither (G, H) nor (H, G) is a bad pair, *G* and *H* do not pack, and if *H* (respectively,  $H^{\perp}$ ) has a universal vertex, then *G* (respectively,  $G^{\perp}$ ) has a vertex not incident with graph edges. If at least one of *G*, *H*,  $G^{\perp}$ , and  $H^{\perp}$  is an ordinary graph, then the statement holds by Theorem 3. So we will assume that

each of 
$$G, H, G^{\perp}$$
, and  $H^{\perp}$  has at least one hyperedge. (1)

Naroski [4] used the following hypergraph operation: For an *n*-vertex hypergraph F, the hypergraph  $\overline{F}$  is obtained from F by replacing each edge  $e \in E(F)$  of size at least (n+1)/2 with V(F) - e and deleting multiple edges if they occur. This operation has the following useful property.

**Lemma 1** ([4]). Let  $F_1$  and  $F_2$  be n-vertex hypergraphs with no edge with size less than k and no edge with size greater than n - k. Then

- (a)  $|E(\widetilde{F}_1)| \leq |E(F_1)|$  and  $|E(\widetilde{F}_2)| \leq |E(F_2)|$ , (b) both  $\widetilde{F}_1$  and  $\widetilde{F}_2$  have no edges of size less than k and no edges of size greater than  $\lfloor \frac{n}{2} \rfloor$ , and
- (c) if  $\widetilde{F}_1$  and  $\widetilde{F}_2$  pack, then  $F_1$  and  $F_2$  pack.

**Lemma 2.** If  $\widetilde{H}$  has a universal vertex and every vertex of  $\widetilde{G}$  is incident to a graph edge, then G and H pack.

**Proof.** Let S be the set of 2-edges of  $\widetilde{G}$  and  $\widetilde{H}$  that are 2-edges in G and H. Let S' be the set of 2-edges of  $\widetilde{G}$  and  $\widetilde{H}$  whose complementary (n-2)-edges exist in G and H. Suppose that  $\hat{H}$  contains a universal vertex v. Then G contains at most n-2 edges and hence some vertex of G is contained in at most one 2-edge. We consider two cases.

Case 1: All 2-edges in H that contain v are contained in S (respectively, S'). By the symmetry between H and  $H^{\perp}$ , we may assume that they all are in S. Then under the conditions of the theorem, some vertex  $w \in V(G)$  is not contained in any edge in S. We let H' be the hypergraph obtained from H by deleting v, and all 2-edges containing v, and replacing each hyperedge  $e \in E(H)$  that contains v by e - v. We let G' be the hypergraph obtained from G by deleting w and replacing each edge  $e \in E(G)$  containing w by the edge e - w. Then since  $|E(G')| + |E(H')| \le 2n - 3 - (n - 1) = n - 2$ , Theorem 4 yields that G' and H' pack. We extend this packing to a packing of G and H by mapping v to w.

*Case 2:* Vertex v is contained in a 2-edge of  $\widetilde{H}$  that is not in S and in a 2-edge of  $\widetilde{H}$  that is not in S'. Let  $w_1$  be a vertex of  $\widetilde{G}$ , which is contained in exactly one 2-edge (if no such vertex exists, then some vertex w of  $\widetilde{G}$  is not incident to 2-edges at all, and we proceed as in Case 1 (deleting all 2-edges of  $\hat{H}$  incident with v)). Let  $w_1w_2$  be the 2-edge in  $\hat{G}$ containing  $w_1$ . By symmetry, we may assume that  $w_1w_2 \in S$ . Let vv' be an edge of H, which is not in S. We let H'' be the hypergraph obtained from  $H^{\perp}$  by first deleting v, v', and all 2-edges containing v and then removing v and v' from each edge e that contains any of them. We let G'' be the hypergraph obtained from  $G^{\perp}$  by first deleting  $w_1, w_2$ , and the edge  $w_1w_2$  and then truncating all edges containing either of  $w_1$  and  $w_2$ . Then since  $|E(G')| + |E(H')| \le 2n - 3 - (n - 1) - 1 = n - 3$ , Theorem 4 yields that G'' and H'' pack. We extend this packing to a packing of G and H by mapping v to  $w_1$  and v' to  $w_2$ . 

In view of Lemmas 1 and 2, we will assume that G and H have no edges of size greater than  $\frac{n}{2}$ . We will study properties of the pair (G, H) and finally come to a contradiction.

Throughout the proof, for  $i \in \{2, ..., \lfloor \frac{n}{2} \rfloor\}$ ,  $G_i$  (respectively,  $H_i$ ) denotes the subgraph of G (respectively, of H) formed by all of its edges of size i, and  $d_i(v, G)$  (respectively,  $d_i(v, H)$  denotes the degree of vertex v in  $G_i$  (respectively, in  $H_i$ ). In particular,  $G_2$ and  $H_2$  are formed by graph edges in G and H, respectively. Then we let  $l_i := |E(G_i)|$ and  $m_i := |E(H_i)|$ . Also, for brevity, let  $m := \sum_{i=2}^n m_i$ ,  $l := \sum_{i=2}^n l_i$ ,  $\overline{m} = m - m_2$  and  $\overline{l} = l - l_2$ . In other words,  $\overline{l}$  is the number of *hyperedges* in *G*, and  $\overline{m}$  is the number of hyperedges in *H*. Recall that by the choice of *G*,

$$l \le n - 2. \tag{2}$$

For *n*-vertex hypergraphs  $F_1$  and  $F_2$ , let  $x(F_1, F_2)$  denote the number of bijections from  $V(F_1)$  onto  $V(F_2)$  that are not packings. Since we have chosen G and H that do not pack,

$$x(G,H) = n!. \tag{3}$$

A nice observation of Naroski is

Lemma 3 ([4]).

$$x(G,H) \le 2(n-2)! \ m_2 l_2 + 3!(n-3)! \ \overline{m}l.$$
(4)

**Proof.** For edges  $e \in G$  and  $f \in H$ , let  $X_{ef}$  be the set of bijections in X that map the edge e onto the edge f. Then

$$\begin{aligned} x(G,H) &= \left| \bigcup_{e \in E(G), f \in E(H)} X_{ef} \right| \le \sum_{e,f} |X_{ef}| = \sum_{i=2}^{\lfloor \frac{n}{2} \rfloor} \sum_{e,f:|e|=|f|=i} |X_{ef}| \\ &= \sum_{i=2}^{\lfloor \frac{n}{2} \rfloor} \sum_{e,f:|e|=|f|=i} i! (n-i)! = \sum_{i=2}^{\lfloor \frac{n}{2} \rfloor} m_i l_i i! (n-i)! \le 2(n-2)! m_2 l_2 \\ &+ 3! (n-3)! \sum_{i=3}^{\lfloor \frac{n}{2} \rfloor} m_i l_i \le 2(n-2)! m_2 l_2 + 3! (n-3)! \sum_{i=3}^{\lfloor \frac{n}{2} \rfloor} m_i \sum_{i=3}^{\lfloor \frac{n}{2} \rfloor} l_i \\ &= 2(n-2)! m_2 l_2 + 3! (n-3)! \overline{ml}. \end{aligned}$$

**Lemma 4.** The number n of vertices in G is at least 8.

**Proof.** If  $n \le 5$ , then  $\lfloor \frac{n}{2} \rfloor \le 2$ , and *G* and *H* are graphs, a contradiction to (1). Suppose now that n = 7. By (4),  $x(G, H) \le 2 \cdot 5! m_2 l_2 + (3!)(4!)\overline{m}\overline{l}$ . By (1),  $\overline{m} \ge 1$  and  $\overline{l} \ge 1$ . And the maximum of the expression  $2 \cdot 5! m_2 l_2 + (3!)(4!)\overline{m}\overline{l}$  under the conditions that  $m_2 + l_2 + \overline{m} + \overline{l} \le 11$ ,  $\overline{m} \ge 1$  and  $\overline{l} \ge 1$  is attained at  $l_2 = 4$ ,  $m_2 = 5$ ,  $\overline{m} = \overline{l} = 1$  and is equal to

$$2 \cdot 5! \cdot 4 \cdot 5 + (3!)(4!) = 4800 + 144 < 5040 = 7!,$$

a contradiction to (3).

Finally, suppose that n = 6. Similarly to the case for n = 7,  $x(G, H) \le 2 \cdot 4!m_2l_2 + (3!)^2\overline{m}\overline{l}, \overline{m} \ge 1$  and  $\overline{l} \ge 1$ . Since  $2 \cdot 4! \ge (3!)^2$ , for nonnegative integers  $m_2, l_2$  and positive integers  $\overline{m}, \overline{l}$ , the maximum of the expression  $2 \cdot 4!m_2l_2 + (3!)^2\overline{m}\overline{l}$  under the condition that  $m_2 + l_2 + \overline{m} + \overline{l} \le 9$  is exactly 6! and is attained only if  $m_2 = l_2 = 0, \overline{l} = 4$ , and  $\overline{m} = 5$ . So, *G* and *H* are 3-uniform hypergraphs with 4 and 5 edges, respectively.

Now we show that even in this extremal case x(G, H) < 6!. In the proof of Lemma 3, for every pair of edges  $e \in G$  and  $f \in H$ , we considered the cardinality of the set

of bijections  $X_{ef}$  from V(G) onto V(H) that map the edge e onto the edge f and estimated  $\Sigma := \sum_{e \in E(G)} \sum_{f \in E(H)} |X_{ef}|$ . We will show that some bijection  $F : V(G) \to V(H)$ maps at least two edges of G onto two edges of H, thus this bijection is counted at least twice in  $\Sigma$ . For this, it is enough to (and we will) find edges  $e_1, e_2 \in E(G)$  and  $f_1, f_2 \in E(H)$  such that  $|e_1 \cap e_2| = |f_1 \cap f_2|$ , since in this case we can map  $e_1$  onto  $f_1$ and  $e_2$  onto  $f_2$ .

If G has two disjoint edges e and e', then any third edge of G shares one vertex with one of e and e' and two vertices with the other. So, we may assume that any two edges in G intersect. Similarly, we may assume that any two edges in H intersect.

Now we show that

H has a pair of edges with intersection size 1 and a pair of edges with intersection

size 2. (5)

If the intersection of each two distinct edges in *H* contains exactly one vertex, then each vertex belongs to at most two edges, which yields  $|E(H)| \le 2 \cdot 6/3 = 4$ , a contradiction to  $\overline{m} = 5$ . Finally, suppose that  $|f_1 \cap f_2| = 2$  for all distinct  $f_1, f_2 \in E(H)$ . If two vertices in *H*, say  $v_1$  and  $v_2$ , are in the intersection of at least three edges, then every other edge also must contain both  $v_1$  and  $v_2$ . Since n = 6 and  $\overline{m} = 5$ , this is impossible. Hence, we may assume that each pair of vertices is the intersection of at most two edges. Given the edges  $\{v_1, v_2, v_3\}$  and  $\{v_1, v_2, v_4\}$ , every other edge must contain  $v_3, v_4$ , and one of  $v_1$  or  $v_2$ . Hence, each edge of *H* is contained in  $\{v_1, v_2, v_3, v_4\}$ . Thus, *H* has at most 4 edges, a contradiction. This proves (5). Hence, the lemma holds.

**Lemma 5.**  $m_2 l_2 > \frac{(n-2)^2}{2}$ , where  $l_2$  (respectively,  $m_2$ ) is the number of graph edges in *G* (respectively, in *H*).

**Proof.** Suppose that  $m_2 l_2 = C \le \frac{(n-2)^2}{2}$ . It suffices to show that x(G, H) < n!. So, by Lemmas 3 and 4, it is enough to show that for  $n \ge 8$  and any nonnegative integers  $m_2$ ,  $l_2$  and positive integers  $\overline{m}$ ,  $\overline{l}$  such that  $m_2 + l_2 + \overline{m} + \overline{l} \le 2n - 3$ , the expression  $Y := 2(n-2)! m_2 l_2 + 3!(n-3)! \overline{m}\overline{l}$  is less than n!. Since  $C \le \frac{(n-2)^2}{2}, m_2 + l_2 \ge 2\sqrt{C}$ . Therefore,  $\overline{m} + \overline{l} \le 2n - 3 - 2\sqrt{C}$  and so  $\overline{m}\overline{l} \le (n-1.5 - \sqrt{C})^2$ . It follows that

$$Y \le 2! (n-3)!((n-2)C+3(n-1.5-\sqrt{C})^2)$$
  
= 2!(n-3)!((n+1)C+3(n-1.5)^2-6(n-1.5)\sqrt{C}).

The second derivative w.r.t. *C* of the last expression is positive, and so it is enough to check C = 0 and  $C = \frac{(n-2)^2}{2}$ . If C = 0, then  $Y \le 2!$   $(n-3)!3(n-1.5)^2$ , which is less than *n*! for  $n \ge 8$ . Similarly, if  $C = \frac{(n-2)^2}{2}$  and  $n \ge 8$ , then

$$\frac{Y}{n!} < \frac{2(n-2)!\frac{(n-2)^2}{2} + 3!(n-3)!\left(n - \frac{n-2}{\sqrt{2}}\right)^2}{n!} = \frac{(n-2)^3 + 6\left(n - \frac{n-2}{\sqrt{2}}\right)^2}{n(n-1)(n-2)}$$
$$= \frac{n^3 - 6n^2 + 12n - 8 + 6n^2 - 6n(n-2)\sqrt{2} + 3(n-2)^2}{n(n-1)(n-2)}$$
$$= \frac{n^3 - 6n(n-2)\sqrt{2} + 3n^2 + 4}{n(n-1)(n-2)} < 1,$$

a contradiction to 3.

#### **Corollary 2.** The number $m_2$ of graph edges in G is greater than n/2.

**Proof.** Suppose that  $m_2 \le n/2$ . By Lemma 5,  $l_2m_2 > \frac{(n-2)^2}{2}$ . Therefore

$$l_2 > \frac{(n-2)^2}{2} \cdot \frac{2}{n} > n-4.$$

Also, by (2) and (1),  $l_2 \le n-3$ . So,  $l_2 = n-3$ , and thus l = n-2 and  $m \le n-1$ . Hence by Lemma 3, for  $n \ge 8$ 

$$x(G, H) \le 2(n-2)! m_2(n-3) + 3!(n-3)! (m-m_2) \cdot 1$$
  
$$\le 2 \cdot (n-3)! ((n-2)(n-3)m_2 + 3(n-1-m_2))$$

$$\leq 2 \cdot (n-3)!((n-2)(n-3)\frac{n}{2} + 3(0.5n-1)) = (n-2)!((n-3)n+3) < n!,$$

a contradiction to 3.

#### 3. TWO MORE LEMMAS

We need some definitions.

**Definition.** For a hypergraph F without 1-edges and  $A \subset V(F)$ , the hypergraph F - A has vertex set V(F) - A and  $E(F - A) := \{e - A : e \in E(F) \text{ and } |e - A| \ge 2\}$ , where multiple edges are replaced with a single edge.

An edge *e* of *G* belongs to a component *C* of  $G_2$  if strictly more than |e|/2 vertices of *e* are in V(C). By definition, each *e* belongs to at most one component of  $G_2$ . A component *C* of  $G_2$  is *clean* if no hyperedge belongs to *C*. A *clean tree-component* of *G* is a clean component of  $G_2$ , which is a tree. In particular, each single-vertex component of  $G_2$  is a clean tree-component. By definition, for each component *C* of  $G_2$ , at least |V(C)| - 1 graph edges belong to *C*. Moreover,

if exactly |V(C)| - 1 edges belong to C, then C is a clean tree-component. (6)

Since  $l_2 \le n-3$ ,  $G_2$  has at least three tree-components. Since  $l \le n-2$ , by (6), at least two components of  $G_2$  are clean tree-components. Since each nonclean component has at least two vertices,

the smallest clean tree-component of  $G_2$  has at most  $\max\{\frac{n}{3}, \frac{n-2}{2}\} = \frac{n-2}{2}$  vertices. (7)

**Lemma 6.** Among the smallest clean tree-components of  $G_2$ , there exists a component T such that G - T does not have a universal vertex.

**Proof.** Let T be the vertex set of a smallest clean tree-component of  $G_2$  and let |V(T)| = t.

Case 1:  $|E(G)| \le n-3$ . Since G - T is an n-t vertex hypergraph containing only n-t-2 edges, G - T cannot have a universal vertex.

Case 2: |E(G)| = n - 2. Assume that G - T contains a universal vertex, say w. Since G - T has at most n - t - 1 edges, each edge in G - T is a graph edge connecting w with some other vertex. In particular, every hyperedge in G has all but two of its vertices

in *T*. Hence for each hyperedge *e* in *G*, the edge e - T connects an isolated vertex of  $G_2$  to *w*. Since  $G_2$  contains at least three components, we get that  $G_2$  contains at least one isolated vertex. Then since any isolated vertex is a clean tree-component, t = 1.

Assume that  $G_2$  contains k isolated vertices  $v_1, v_2, \ldots, v_k$ . Each of these vertices then forms a smallest clean tree-component. If  $G - v_i$  does not contain a universal vertex for some  $i \le k$ , we are done. Hence, we may assume that  $G - v_i$  contains a universal vertex  $w_i$ for each  $i \le k$ . It follows that every edge of G has size at most 3 and contains  $w_i$  for every *i*. In particular,  $G_2$  has at most one nonsingleton component. Since  $l_2 \le l - 1 \le n - 3$ ,  $G_2$  has at least three components. Hence,  $k \ge 2$ . Furthermore, each of the  $v'_i s$  is contained in each 3-edge, hence  $k \le 3$ . If k = 3, then we have exactly one 3-edge  $v_1 v_2 v_3$  in G. But then one the vertices of this edge is  $w_i$  for some *i* and hence is incident with n - 3 graph edges. Since  $n \ge 8$ , vertex of degree n - 2 is not isolated. So, k = 2.

Since *G* contains a 3-edge, we have an edge  $v_1v_2w$  where *w* is necessarily the universal vertex in  $G - v_1$  and in  $G - v_2$ . Thus,  $v_1v_2w$  is the only 3-edge in *G*, and so *wu* is an edge of  $G_2$  for every  $u \in V(G) - v_1 - v_2 - w$ .

*Case 2.1:*  $H_2$  contains an isolated vertex y. Since m = n - 1 and  $n \ge 8$ , there exist vertices  $y_1$  and  $y_2$  such that  $\{y, y_1, y_2\}$  is not a 3-edge in H. Then, we may map w to y,  $v_1$  to  $y_1$ , and  $v_2$  to  $y_2$ , and the rest of V(G) arbitrarily to the rest of V(H) to get a packing of G and H, a contradiction to their choice.

*Case 2.2:*  $H_2$  has no isolated vertices. Since  $|E(H_2)| \le n - 2$ ,  $H_2$  necessarily contains a vertex y of degree 1. Suppose  $yy_1 \in E(H_2)$ . Since H contains at most n - 1 - n/2 3-edges, there exists some  $y_2 \in V(H)$  which is not in a 3-edge with y and  $y_1$ . Then we may pack G and H as in Case 2.1.

**Lemma 7.** Let  $t \le (n-2)/2$ . Let T be a t-vertex clean tree in  $G_2$  and let  $S \subset V(H)$  with |S| = t be such that S intersects at least t + 1 graph edges. If G[T] and H[S] pack, then either G' := G - T or H' := H - S has a universal vertex.

**Proof.** Assume that the lemma does not hold. Since the (graph) edges of T and the graph edges in H incident with S do not correspond to any edge in G' and H', we have

$$|E(G')| + |E(H')| \le |E(G)| + |E(H)| - (t-1) - (t+1) \le 2(n-t) - 3.$$
(8)

We claim that if G' and H' pack, then so do G and H. Indeed suppose that  $\sigma'$  is a packing of G' onto H' and  $\sigma''$  is a packing of G[T] onto H[S]. We will check that  $\sigma' \cup \sigma''$  is a packing of G onto H. Suppose the contrary: that an edge A of G is mapped onto edge Bof H. If  $A \subset T$ , this is impossible, since  $\sigma''$  is a packing of G[T] onto H[S]. So, suppose  $A' := A \cap V(G') \neq \emptyset$  and  $B' := B \cap V(H') \neq \emptyset$ . Since T is a clean component of  $G_2$ ,  $|A'| \ge 2$ . So, |B'| is also at least 2. Then, by the definition of G - T and H - S, A' is an edge of G' and B' is an edge of H'. Hence  $\sigma'$  does not send A' to B', a contradiction to the choice of A and B. Thus, since G and H do not pack, neither do G' and H'. So by (8) and the minimality of n, either (G', H') is a bad pair or the lemma holds. Hence we may assume that (G', H') is a bad pair.

Let k = n - t. Note that for each bad pair (G(i), H(i)) in Figure 1, the total number of edges in G(i) and H(i) is 2|V(G(i))| - 3 = 2|V(H(i))| - 3. Hence, |E(H)| - |E(H - S)| = t + 1 and S covers exactly t + 1 graph edges. Then

$$|E(G(i))| + |E(H(i))| = 2k - 3$$
 and  $|V(G)| = |V(H)| \le 2k - 2.$  (9)

By the definition of bad pairs, either all edges in G' and H' are graph edges or all of them are (k - 2)-edges. In the latter case, H has only  $t + 1 \le n/2$  graph edges, a contradiction to Corollary 2. Thus, we may assume that  $\{G', H'\} = \{G(i), H(i)\}$  in Figure 1 for some  $i \in \{1, ..., 8\}$ .

*Case 1:*  $\overline{l} + \overline{m} \ge 2k - 3$ . Then  $l_2 + m_2 \le (2n - 3) - (2k - 3) = 2n - 2k$ , and hence  $l_2m_2 \le (n - k)^2$ . Since  $4 \le k \le 9$  and  $k \ge (n + 2)/2$ , we get

$$l_2m_2 \le (n-k)^2 \le \left(\frac{n-2}{2}\right)^2 < \frac{(n-2)^2}{2},$$

a contradiction to Lemma 5.

Since we proved that  $l + \overline{m} < 2k - 3$  at least one edge of G' or H' is a graph edge in G or H. Furthermore, since T was a clean component, all the hyperedges of G become graph edges of G'. Let  $e_G$  be some such edge of G'. If none of the edges of H' was obtained from a hyperedge of H, then it is enough to pack  $G' - e_G$  with H', which is possible by Theorem 3. So, there are  $e \in E(G')$  and  $f \in E(H')$  such that one of them is a graph edge and the other is a hyperedge in (G, H).

*Case 2:* (G', H') is one of the unordered pairs  $\{G(1), H(1)\}$ ,  $\{G(3), H(3)\}$ ,  $\{G(4), H(4)\}$ ,  $\{G(7), H(7)\}$ . By symmetry, we may assume that  $e = x_1x_2$  and  $f = y_1y_2$ . In all cases, we define mapping  $\phi(x_j) = y_j$  for j = 1, ..., k. This mapping together with the packing of G[T] with H[S] yields a packing of G with H, a contradiction.

*Case 3:* (G', H') is one of the unordered pairs  $\{G(2), H(2)\}$ ,  $\{G(5), H(5)\}$ ,  $\{G(6), H(6)\}$ . By symmetry, we may assume that  $e = x_1x_2$  and either  $f = y_1y_2$  or  $f = y_{k-1}y_k$ . If  $f = y_1y_2$ , then we let  $\phi(x_j) = y_j$  for j = 1, ..., k, and if  $f = y_{k-1}y_k$ , then we let  $\phi(x_j) = y_{k+1-j}$  for j = 1, ..., k.

**Remark.** Practically, the same proof will verify the lemma with the roles of *G* and *H* switched, that is, with *T* being be a *t*-vertex clean tree in  $H_2$  and *S* being a subset of V(G) with |S| = t such that *S* intersects at least t + 1 graph edges in *G*. The only difference is that if all edges of *G'* and *H'* are (k - 2)-edges, then *H* has only  $t - 1 \le n/2$  graph edges (those that are the graph edges of *T*), and we get the same contradiction to Corollary 2.

#### 4. PROOF OF THEOREM 5

By Lemma 6, there is a smallest clean tree-component T of  $G_2$  such that

$$G - T$$
 does not contain a universal vertex. (10)

We let t = |V(T)|.

**Case 1:** t = 1. Let  $V(T) = \{u\}$ . By Corollary 2,  $\Delta(H_2) \ge 2$ . Let  $w \in V(H)$  with  $d_2(w, H) = \Delta(H_2)$ . Let G' = G - u and let H' = H - w. By Lemma 7 and (10), H' contains a universal vertex, say w'.

Let  $y = \Delta(H_2)$ . Since *H* contains at least n - 2 edges forming the star in *H'* plus *y* graph edges incident to *w*, we get that  $l + (n - 2) + y \le l + m \le 2n - 3$ . Since  $l_2 \le l - 1$ , we get  $l_2 + y \le n - 2$ . By Lemma 5,  $m_2 > \frac{(n-2)^2}{2l_2}$ . Also, *w'* is contained in at least n - 2 - y 3-edges, hence

$$(l_2+1) + \frac{(n-2)^2}{2l_2} + (n-2-y) < l+m \le 2n-3,$$

which gives that  $l_2 - y + \frac{(n-2)^2}{2l_2} < n-2$ . Adding these expressions gives

$$(l_2 + y) + (l_2 - y + \frac{(n-2)^2}{2l_2}) < 2(n-2)$$

or  $l_2 + \frac{(n-2)^2}{4l_2} < n-2$ . This can be rewritten as  $(2l_2 - (n-2))^2 < 0$  which is false. This contradiction finishes Case 1, so below we assume that t > 1.

**Case 2:** t = 2. Let  $V(T) = \{v_1, v_2\}$ . If H contains a vertex w with  $d_2(w, H) > n/2$ , let w' be a nonneighbor of w in  $H_2$ . Then  $G' = G - v_1 - v_2$ , and H' = H - w - w' are (n-2)-vertex graphs with  $|E(G')| + |E(H')| < \frac{3(n-2)-2}{2}$ , so G' and H' pack by the minimality of n (we simply apply Corollary 1). Mapping  $v_1$  to w and  $v_2$  to w' will complete the packing of G with H. So,  $\Delta(H_2) \le n/2$ .

*Case 2.1:*  $\Delta(H_2) \ge 3$ . Given nonadjacent vertices  $w_1$  and  $w_2$  in  $H_2$  with  $d_2(w_1, H) = \Delta(H_2)$ , we let  $G' = G - v_1 - v_2$  and  $H' = H - w_1 - w_2$ . By Lemma 7 and (10), H' contains a universal vertex.

Let  $y = \Delta(H_2) \le n/2$ . Then  $l + (n-3) + y \le l + m \le 2n - 3$ . Since H' contains a universal vertex,  $m - m_2 \ge n - 3 - y$ , so  $l + m_2 + (n - 3 - y) \le l + m \le 2n - 3$ . Adding these gives  $2(2n - 3) \ge 2l + m_2 + 2(n - 3)$ , or

$$2n \ge 2l + m_2. \tag{11}$$

By Lemma 5,  $l_2 > \frac{(n-2)^2}{2m_2}$ . So if  $l - l_2 \ge 2$  or  $m - m_2 \ge n - 1 - y$ , then  $2n > 4 + m_2 + \frac{(n-2)^2}{m_2}$ . And since  $m_2 + \frac{n-2)^2}{m_2} \ge 2(n-2)$ , we get 2n > 2n, a contradiction. Hence we may assume that  $l - l_2 = 1$  and that  $m - m_2 \le n - 2 - y$ . Furthermore, if  $l_2m_2 \le \frac{(n-1)^2}{2}$ , Lemma 3 gives

$$\begin{aligned} x(G,H) &\leq 2(n-2)! \; \frac{(n-1)^2}{2} + 3!(n-3)! \; 1(n-2-y) \\ &\leq 2(n-2)! \; \frac{(n-1)^2}{2} + 3!(n-3)! \; 1(n-5) \\ &= (n-1)! \left[ (n-1) + \frac{6(n-5)}{(n-1)(n-2)} \right] \\ &< n! \; (\text{since } n \geq 8), \end{aligned}$$

a contradiction to (3). Thus  $l_2m_2 > \frac{(n-1)^2}{2}$  which gives  $l = 1 + l_2 > 1 + \frac{(n-1)^2}{2m_2}$ . Applying this to (11), we obtain  $2n > 2 + m_2 + \frac{(n-1)^2}{m_2} \ge 2 + 2(n-1) = 2n$ , a contradiction. *Case 2.2:*  $\Delta(H_2) \le 2$ . By Corollary 2,  $\Delta(H_2) \ge 2$ . Thus  $\Delta(H_2) = 2$ . Let  $w_1$  be a vertex

*Case 2.2:*  $\Delta(H_2) \leq 2$ . By Corollary 2,  $\Delta(H_2) \geq 2$ . Thus  $\Delta(H_2) = 2$ . Let  $w_1$  be a vertex with  $d_2(w_1, H) = 2$ . If there exists some  $w_2$  in H with  $w_1w_2 \notin E(H)$  and  $d_2(w_2, H) \geq 1$ , then we proceed as in Case 2.1. Hence we may assume that every vertex in  $H_2$  that is not adjacent to  $w_1$  is an isolated vertex. We then have that  $m_2 \leq 3$ , and  $m_2l_2 \leq 3(n-3)$ . Lemma 5 then gives that  $3(n-3) > (n-2)^2/2$  or  $(n-5)^2 < 3$ , a contradiction to  $n \geq 8$ .

**Case 3:**  $t \ge 3$  and  $H_2$  has an isolated vertex w. Let y be a leaf of T and let x be the neighbor of y in  $G_2$ . Let G' = G - x and let H' = H - w. Since  $t \ge 3$ ,  $d_2(x, G) \ge 2$  and hence  $|E(G')| \le n - 4$ . Therefore,  $|E(G')| + |E(H')| \le 2(n - 1) - 3$ , and G' does not have a universal vertex. Thus by the remark to Lemma 7, H' has a universal vertex, say

w'. Let G'' = G' - y and let H'' = H' - w'. Since w' was universal in H',

$$\begin{aligned} |E(G'')| + |E(H'')| &= |E(G')| + |E(H')| - (n-2) \le 2(n-1) - 3 - (n-2) \\ &= n - 3 < \frac{3(n-2) - 2}{2}. \end{aligned}$$

So by the minimality of n and Corollary 1, G'' and H'' pack. We may then extend the packing of G'' and H'' to a packing of G and H by mapping x to w and y to w'. This finishes Case 3.

If  $n_1$  vertices of *G* are in clean tree-components, then  $l \ge \frac{n_1(t-1)}{t} + (n-n_1)$ . Moreover, if  $n = n_1$ , then (since *G* has a hyperedge)  $l \ge 1 + \frac{n_1(t-1)}{t} \ge 2 + \frac{(n-2)(t-1)}{t}$ . Since  $n - n_1 \ne 1$ , we conclude that  $l \ge n - \lfloor \frac{n-2}{t} \rfloor$ . So

$$m \le 2n - 3 - l \le n - 3 + \left\lfloor \frac{n - 2}{t} \right\rfloor.$$
(12)

We consider two cases depending on the maximum degree of  $H_2$ .

**Case 4:**  $t \ge 3$  and  $\Delta(H_2) \ge \lfloor \frac{n-2}{t} \rfloor$ . Let  $w_1$  be a vertex of maximum degree in  $H_2$ . Let  $v_1$  be a leaf in T and choose  $v_2, v_3, \ldots, v_t$  in T so that for each i with  $2 \le i \le t$ , the set  $\{v_1, v_2, \ldots, v_i\}$  induce a tree in  $G_2$  with  $v_i$  as a leaf with neighbor  $v_{(i-1)'}$ . We map  $v_1$  to  $w_1$  and proceed by induction to pack V(T) into V(H) so that for every  $i = 1, \ldots, t$ , the image,  $W_i$ , of  $\{v_1, v_2, \ldots, v_i\}$  is incident to at least  $\lfloor \frac{n-2}{t} \rfloor + i - 1$  graph edges. Assume that  $v_1, v_2, \ldots, v_i$  have been mapped in this way to  $w_1, w_2, \ldots, w_i$ , so that  $W_i = \{w_1, w_2, \ldots, w_i\}$ . In particular,  $W_i$  is incident to at least  $\lfloor \frac{n-2}{t} \rfloor + i - 1$  graph edges in H.

*Case 4.1:*  $W_i$  is incident to at least  $\lfloor \frac{n-2}{t} \rfloor + i$  graph edges. It suffices to map  $v_{i+1}$  to a vertex  $w_{i+1}$  in V(H) such that for each  $j \leq i$ ,  $w_j \neq w_{i+1}$  and  $w_j w_{i+1}$  is not an edge. Since  $v_{i+1}$  is adjacent only to  $v_{i'}$  in  $\{v_1, v_2, \ldots, v_i\}$ , if  $i + d_2(w_{i'}, H - W_i) < n$ , then we can choose as  $w_{i+1}$  any vertex in  $V(H) - W_i$  not adjacent to  $w_{i'}$  in  $H_2$ . Hence we may assume that  $d_2(w_{i'}, H - W_i) \geq n - i$ . Since  $G_2$  contains no isolated vertices, by the choice of G and H,  $\Delta(H_2) \leq n - 2$ , so  $i \neq 1$ . Since  $v_1$  is a leaf in T and  $i \geq 2$ ,  $i' \neq 1$ . So, by the choice of  $w_1$ ,

$$m_2 \ge d_2(w_{i'}, H - W_i) + d_2(w_1, H - w_{i'}) \ge 2d_2(w_{i'}, H - W_i) \ge 2(n - i).$$

Also,  $i \le t - 1$ . Hence  $m \ge 1 + m_2 \ge 1 + 2(n - i) \ge 2n - 2t + 3$ . So, by  $12, 2n - 2t + 3 \le n - 3 + \frac{n-2}{t}$ . This gives  $0 \le 2t^2 - (n + 6)t + (n - 2)$ , but for  $2 \le t \le \frac{n-2}{2}$ , this expression is at most -6.

*Case 4.2:*  $W_i$  is incident to exactly  $\lfloor \frac{n-2}{t} \rfloor + i - 1$  graph edges. If there exists some  $w_{i+1} \in V(H) - W_i$  not adjacent to  $W_i$  in  $H_2$ , then we can map  $v_{i+1}$  onto this  $w_{i+1}$ . Hence, we may assume that  $i + \lfloor \frac{n-2}{t} \rfloor + i - 1 \ge n$ . This yields  $0 \le 2t^2 - (n+3)t + (n-2)$ , but for  $2 \le t \le \frac{n-2}{2}$ , this expression is at most -3.

So, we can pack *T* into *H* in such a way that at least  $\lfloor \frac{n-2}{t} \rfloor + t - 1$  graph edges of *H* are covered. Let  $G' = G - v_1 - v_2 - \cdots - v_t$  and  $H' = H - w_1 - w_2 - \cdots - w_t$ . Since by (7),  $\lfloor \frac{n-2}{t} \rfloor \ge 2$ , Lemma 7 and (10) yield that *H'* has a universal vertex. But

$$|E(H')| \le n-3 + \left\lfloor \frac{n-2}{t} \right\rfloor - \left\lfloor \frac{n-2}{t} \right\rfloor - t+1 = n-t-2,$$

a contradiction.

**Case 5:**  $t \ge 3$  and  $\Delta(H_2) \le \lfloor \frac{n-2}{t} \rfloor - 1$ . By Corollary 2,  $\Delta(H_2) \ge 2$ . Hence  $2 \le \lfloor \frac{n-2}{t} \rfloor - 1$ , which yields  $t \le (n-2)/3$ . Define  $v_1, v_2, \ldots, v_t$  as in Case 4. We map  $v_1$  to a vertex  $w_1$  of maximum degree in  $H_2$ . Since  $\Delta(H_2) \ge 2$ , we may proceed as in Case 4, to get a packing of *T* into *H*, which covers at least  $\Delta(H_2) + t - 1 \ge t + 1$  graph edges in *H*. Again by Lemma 7 and (10), *H'* has a universal vertex, say *z*. Then *z* is contained in at least  $n - t - 1 - \Delta(H_2)$  hyperedges in *H*. Hence,  $m - m_2 \ge n - t - \lfloor \frac{n-2}{t} \rfloor \ge n - t - \frac{n-2}{t}$ . We also have that  $m - m_2 \le 2n - 3 - (l_2 + m_2) - (l - l_2)$ . These inequalities together give

$$(l_2 + m_2) + (l - l_2) \le n - 3 + t + \frac{n - 2}{t}.$$
(13)

By Lemma 5,  $l_2 + m_2 > \sqrt{2}(n-2)$ . We consider two cases.

*Case 5.1:*  $l - l_2 \ge 2$ . Then by (13) and Lemma 5 we have  $\sqrt{2}(n-2) + 2 < n-3+t+\frac{n-2}{t}$ . As  $n-3+t+\frac{n-2}{t}$  achieves its maximum for extremal values of t, we need only to check the inequality for t = 3 and  $t = \frac{n-2}{3}$ . For t = 3 we get  $\sqrt{2}(n-2) < (4/3)(n-2)$  and for  $t = \frac{n-2}{3}$  we get  $\sqrt{2} < 4/3$ ; both inequalities are false.

*Case 5.2:*  $l - l_2 = 1$ . By (13), we have  $l_2 + m_2 \le n - 2 + t + \frac{n-2}{t}$ . For fixed *n*, the expression  $n - 2 + t + \frac{n-2}{t}$  achieves its maximum at extremal values of *t*. So, we check t = 3 and  $t = \frac{n-2}{3}$ . In either case,

$$l_2 + m_2 \le \frac{4(n-2)}{3} + 1. \tag{14}$$

Since  $l - l_2 = 1$  and  $l + m \le 2n - 3$ , by Lemma 3, the number x(G, H) of "bad" bijections from V(G) onto V(H) satisfies

$$\begin{aligned} x(G,H) &\leq m_2 l_2 2(n-2)! + 3!(n-3)!(m-m_2) \\ &\leq m_2 l_2 2(n-2)! + 3!(n-3)!(2n-3-l_2-1-m_2). \end{aligned}$$

So, denoting  $y := (l_2 + m_2)/2$ , we have

$$x(G, H) \le h(y) := y^2 2 \cdot (n-2)! + 3!(n-3)!(2n-4-2y).$$

Since  $y \ge m_2/2 > n/4 \ge 2$ , we have  $h'(y) = 4 \cdot (n-2)!y - 3!(n-3)!2 = 4 \cdot (n-3)!((n-2)y-3) > 0$ . Thus by (14),

$$\frac{x(G,H)}{n!} \le \frac{h(2(n-2)/3 + 1/2)}{n!} = \frac{|X|}{n!}$$
$$\le \frac{1}{n!} \left[ 2(n-2)! \left(\frac{2}{3}(n-2) + \frac{1}{2}\right)^2 + 3!(n-3)! \frac{2n-7}{3} \right]$$
$$= \frac{16n^3 - 72n^2 + 177n - 302}{18n(n-1)(n-2)}.$$

As this is less than 1 for  $n \ge 8$ , x(G, H) < n!, a contradiction to (3).

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