Plane graphs and planar graphs. Part 1

Lecture 30



A graph *G* is planar if it has a drawing φ without crossings. A plane graph is a pair (G, φ) where φ is a drawing of *G* without crossings.

< □ > < 同 > < 三 > < 三 > < 三 > < ○ < ○ </p>

A graph *G* is planar if it has a drawing φ without crossings. A plane graph is a pair (*G*, φ) where φ is a drawing of *G* without

Two distinct plane graphs.



crossings.



◆□▶ ◆□▶ ◆臣▶ ◆臣▶ ─臣 ─のへで

◆□ ▶ ◆□ ▶ ◆ □ ▶ ◆ □ ▶ ● □ ● ● ● ●

The length, $\ell(F_i)$, of a face F_i in a plane graph (G, φ) is the total length of the closed walk(s) bounding F_i .

・ロト ・ 同 ・ ・ ヨ ・ ・ ヨ ・ うへつ

The length, $\ell(F_i)$, of a face F_i in a plane graph (G, φ) is the total length of the closed walk(s) bounding F_i .



◆□▶ ◆□▶ ▲□▶ ▲□▶ ■ ののの

The length, $\ell(F_i)$, of a face F_i in a plane graph (G, φ) is the total length of the closed walk(s) bounding F_i .



Definition of dual graphs: given in class (and book).

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ - 三 - のへぐ

By $F(G, \varphi)$ we denote the set of faces of the plane graph (G, φ) .

Proposition 6.1: For each plane graph (G, φ) ,

$$\sum_{F_i \in F(G,\varphi)} \ell(F_i) = 2|E(G)|.$$
(1)

< □ > < 同 > < 三 > < 三 > < 三 > < ○ < ○ </p>

By $F(G, \varphi)$ we denote the set of faces of the plane graph (G, φ) .

Proposition 6.1: For each plane graph (G, φ) ,

$$\sum_{F_i \in F(G,\varphi)} \ell(F_i) = 2|E(G)|.$$
(1)

Proof. By the definition of $\ell(F_i)$, each edge either contributes 1 to the length of two distinct faces or contributes 2 to the length of one face.

By $F(G, \varphi)$ we denote the set of faces of the plane graph (G, φ) .

Proposition 6.1: For each plane graph (G, φ) ,

$$\sum_{F_i \in F(G,\varphi)} \ell(F_i) = 2|E(G)|.$$
(1)

Proof. By the definition of $\ell(F_i)$, each edge either contributes 1 to the length of two distinct faces or contributes 2 to the length of one face.

Theorem 6.2 (Euler's Formula): For every connected plane graph (G, φ) ,

 $|V(G)| - |E(G)| + |F(G,\varphi)| = 2.$

Proof of Euler's Formula

For given *n*, we use induction of m = |E(G)|. **Base of induction:** m = n - 1. Let (G, φ) be a plane drawing of an *n*-vertex connected graph *G* with n - 1 edges. By the Characterization Theorem for trees, *G* is a tree.

Proof of Euler's Formula

For given *n*, we use induction of m = |E(G)|. **Base of induction:** m = n - 1. Let (G, φ) be a plane drawing of an *n*-vertex connected graph *G* with n - 1 edges. By the Characterization Theorem for trees, *G* is a tree.

Since G has no cycles, (G, φ) has only one face. Hence,

 $|V(G)| - |E(G)| + |F(G, \varphi)| = n - (n - 1) + 1 = 2,$

・ロト ・ 同 ・ ・ ヨ ・ ・ ヨ ・ うへつ

as claimed.

Proof of Euler's Formula

For given *n*, we use induction of m = |E(G)|. **Base of induction:** m = n - 1. Let (G, φ) be a plane drawing of an *n*-vertex connected graph *G* with n - 1 edges. By the Characterization Theorem for trees, *G* is a tree.

Since G has no cycles, (G, φ) has only one face. Hence,

 $|V(G)| - |E(G)| + |F(G, \varphi)| = n - (n - 1) + 1 = 2,$

as claimed.

Induction step: Suppose the formula holds for all planar drawings of all *n*-vertex connected graphs with m - 1 edges. Let (G, φ) be a plane drawing of an *n*-vertex connected graph *G* with *m* edges.

Since $m \ge n$, *G* has a cycle, say *C*. In drawing φ , *C* forms a closed simple polygonal curve. By Restricted Jordan Curve Theorem, *C* divides \mathbb{R}^2 into two components. Hence each face of (G, φ) is either outside of *C* or inside of *C*.

< □ > < 同 > < 三 > < 三 > < 三 > < ○ < ○ </p>

Since $m \ge n$, *G* has a cycle, say *C*.

In drawing φ , *C* forms a closed simple polygonal curve. By Restricted Jordan Curve Theorem, *C* divides \mathbb{R}^2 into two components. Hence each face of (G, φ) is either outside of *C* or inside of *C*.

Let *e* be an edge of *C*. Let (G', φ') be obtained from (G, φ) by deleting *e*. Then the two faces of (G, φ) containing *e* on the boundary (one inside *C* and one outside of *C*) merge into one face of (G', φ') .



G-e

$$|V(G')| - |E(G')| + |F(G', \varphi')| = 2.$$
 (2)

・ロト・四ト・モート ヨー うへの

$$|V(G')| - |E(G')| + |F(G', \varphi')| = 2.$$
 (2)

We know that |V(G')| = |V(G)|, |E(G')| = |E(G)| - 1 and $|F(G', \varphi')| = |F(G, \varphi)| - 1$. Plugging all this into (2), we get

 $|V(G)| - (|E(G)| - 1) + (|F(G, \varphi)| - 1) = 2,$

which yields the theorem.



$$|V(G')| - |E(G')| + |F(G', \varphi')| = 2.$$
 (2)

A D F A 同 F A E F A E F A Q A

We know that |V(G')| = |V(G)|, |E(G')| = |E(G)| - 1 and $|F(G', \varphi')| = |F(G, \varphi)| - 1$. Plugging all this into (2), we get

 $|V(G)| - (|E(G)| - 1) + (|F(G, \varphi)| - 1) = 2,$

which yields the theorem.

Corollary 6.3: For $n \ge 3$, every simple planar *n*-vertex graph *G* has at most 3n - 6 edges. Moreover, if *G* is triangle-free, then *G* has at most 2n - 4 edges.

$$|V(G')| - |E(G')| + |F(G', \varphi')| = 2.$$
 (2)

We know that |V(G')| = |V(G)|, |E(G')| = |E(G)| - 1 and $|F(G', \varphi')| = |F(G, \varphi)| - 1$. Plugging all this into (2), we get

 $|V(G)| - (|E(G)| - 1) + (|F(G, \varphi)| - 1) = 2,$

which yields the theorem.

Corollary 6.3: For $n \ge 3$, every simple planar *n*-vertex graph *G* has at most 3n - 6 edges. Moreover, if *G* is triangle-free, then *G* has at most 2n - 4 edges.

Corollary 6.4: Graphs K_5 and $K_{3,3}$ are not planar.

Proof of Corollary 6.3

It is enough to prove the corollary for connected planar simple graphs. For the main part, even 2-connected.





Proof of Corollary 6.3

It is enough to prove the corollary for connected planar simple graphs. For the main part, even 2-connected.



Claim 1: For each planar drawing φ of *G*,

 $3|F(G,\varphi)| \le 2|E(G)|. \tag{3}$

< □ > < 同 > < 三 > < 三 > < 三 > < ○ < ○ </p>

Proof of Corollary 6.3

It is enough to prove the corollary for connected planar simple graphs. For the main part, even 2-connected.



Claim 1: For each planar drawing φ of *G*,

 $3|F(G,\varphi)| \le 2|E(G)|. \tag{3}$

◆□▶ ◆□▶ ▲□▶ ▲□▶ ■ ののの

Proof: Since $\ell(F_i) \ge 3$ for each F_i , by Proposition 6.1,

$$2|E(G)| = \sum_{F_i \in F(G,\varphi)} \ell(F_i) \ge 3|F(G,\varphi)|.$$

 $2 = |V(G) - |E(G)| + |F(G,\varphi)| \le |V(G)| - |E(G)| + \frac{2}{3}|E(G)|.$

 $2 = |V(G) - |E(G)| + |F(G,\varphi)| \le |V(G)| - |E(G)| + \frac{2}{3}|E(G)|.$

Hence

$$\frac{1}{3}|E(G)| \le |V(G)| - 2, \quad \text{as claimed.}$$

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ - 三 - のへぐ

 $2 = |V(G) - |E(G)| + |F(G,\varphi)| \le |V(G)| - |E(G)| + \frac{2}{3}|E(G)|.$

Hence

 $\frac{1}{3}|E(G)| \le |V(G)| - 2, \quad \text{as claimed.}$

For the "Moreover" part, we modify the claim: Claim 2: For each planar drawing φ of triangle-free *G*,

$$|F(G,\varphi)| \le \frac{|E(G)|}{2}.$$
 (4)

(ロ) (同) (三) (三) (三) (○) (○)

 $2 = |V(G) - |E(G)| + |F(G,\varphi)| \le |V(G)| - |E(G)| + \frac{2}{3}|E(G)|.$

Hence

 $\frac{1}{3}|E(G)| \le |V(G)| - 2, \quad \text{as claimed.}$

For the "Moreover" part, we modify the claim: Claim 2: For each planar drawing φ of triangle-free *G*,

$$|F(G,\varphi)| \le \frac{|E(G)|}{2}.$$
 (4)

・ロト ・ 同 ・ ・ ヨ ・ ・ ヨ ・ うへつ

Proof: Since $\ell(F_i) \ge 4$ for each F_i , by Proposition 6.1,

$$2|E(G)| = \sum_{F_i \in F(G,\varphi)} \ell(F_i) \ge 4|F(G,\varphi)|.$$

Again, let φ be a planar drawing of our triangle-free *G*. By Euler's Formula and Claim 2,

 $2 = |V(G) - |E(G)| + |F(G,\varphi)| \le |V(G)| - |E(G)| + \frac{1}{2}|E(G)|.$

< □ > < 同 > < 三 > < 三 > < 三 > < ○ < ○ </p>

Again, let φ be a planar drawing of our triangle-free *G*. By Euler's Formula and Claim 2,

 $2 = |V(G) - |E(G)| + |F(G,\varphi)| \le |V(G)| - |E(G)| + \frac{1}{2}|E(G)|.$

Hence

 $\frac{1}{2}|E(G)| \le |V(G)| - 2$, as claimed.

(ロ) (同) (三) (三) (三) (○) (○)

Again, let φ be a planar drawing of our triangle-free *G*. By Euler's Formula and Claim 2,

 $2 = |V(G) - |E(G)| + |F(G,\varphi)| \le |V(G)| - |E(G)| + \frac{1}{2}|E(G)|.$

Hence

$$\frac{1}{2}|E(G)| \le |V(G)| - 2, \qquad \text{as claimed}.$$

・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・

Questions: 1. What happens for $|V(G)| \le 2$? 2. In which part(s) of the proof have I used $|V(G)| \ge 3$?

Again, let φ be a planar drawing of our triangle-free *G*. By Euler's Formula and Claim 2,

 $2 = |V(G) - |E(G)| + |F(G,\varphi)| \le |V(G)| - |E(G)| + \frac{1}{2}|E(G)|.$

Hence

$$\frac{1}{2}|E(G)| \le |V(G)| - 2, \quad \text{as claimed.}$$

Questions: 1. What happens for $|V(G)| \le 2$? 2. In which part(s) of the proof have I used $|V(G)| \ge 3$?

Proof of Corollary 6.4. $|V(K_5)| = 5$ and $|E(K_5)| = 10 = 3|V(K_5)| - 5$. So, by Cor. 6.3, K_5 is not planar.

Again, let φ be a planar drawing of our triangle-free *G*. By Euler's Formula and Claim 2,

 $2 = |V(G) - |E(G)| + |F(G,\varphi)| \le |V(G)| - |E(G)| + \frac{1}{2}|E(G)|.$

Hence

$$\frac{1}{2}|E(G)| \le |V(G)| - 2, \qquad \text{as claimed}$$

Questions: 1. What happens for $|V(G)| \le 2$? 2. In which part(s) of the proof have I used $|V(G)| \ge 3$?

Proof of Corollary 6.4. $|V(K_5)| = 5$ and $|E(K_5)| = 10 = 3|V(K_5)| - 5$. So, by Cor. 6.3, K_5 is not planar. Similarly, $K_{3,3}$ is triangle-free, $|V(K_{3,3})| = 6$ and $|E(K_{3,3})| = 9 = 2|V(K_{3,3})| - 3$. Again, by Cor. 6.3, $K_{3,3}$ is not planar.

Subdivisions

A subdivision of an edge *e* connecting vertices *x* and *y* is a replacement of *e* with the path x, z, y, where *z* is a new vertex.

A graph H is a subdivision of a graph G if H can be obtained from G by series of subdivisions of edges.

< □ > < 同 > < 三 > < 三 > < 三 > < ○ < ○ </p>

Subdivisions

A subdivision of an edge *e* connecting vertices *x* and *y* is a replacement of *e* with the path x, z, y, where *z* is a new vertex.

A graph H is a subdivision of a graph G if H can be obtained from G by series of subdivisions of edges.



◆□▶ ◆□▶ ◆□▶ ◆□▶ ● □ ● ● ●

Observation: Let H be a subdivision of a graph G. Then H is planar if and only if G is planar.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● のへぐ

Observation: Let H be a subdivision of a graph G. Then H is planar if and only if G is planar.

Theorem 6.5 (Kuratowski's Theorem) A graph *G* is planar if and only if *G* does not contain subdivisions of K_5 and $K_{3,3}$.

< □ > < 同 > < 三 > < 三 > < 三 > < ○ < ○ </p>

Observation: Let H be a subdivision of a graph G. Then H is planar if and only if G is planar.

Theorem 6.5 (Kuratowski's Theorem) A graph *G* is planar if and only if *G* does not contain subdivisions of K_5 and $K_{3.3}$.



(ロ) (同) (三) (三) (三) (○) (○)

Note that even after deleting two edges from the Petersen graph, the remaining graph is not planar.