

Graph coloring. Part 3

Lecture 34

For $k \geq 1$, a graph G is **k -critical**, if $\chi(G) = k$, but for each **proper** subgraph G' of G ,

$$\chi(G') \leq k - 1.$$

Examples: (a) **Complete graphs**, (b) **Odd cycles**, (c) **Odd wheels**, (d) **Moser spindle**.

Theorem 5.6. Let $k \geq 3$ and G be a k -critical graph. Then

(a) $\kappa(G) \geq 2$;

(b) $\kappa'(G) \geq k - 1$.

Moreover, for each $k \geq 3$ there are infinitely many k -critical graphs with connectivity exactly 2.

Theorem 5.6. Let $k \geq 3$ and G be a k -critical graph. Then

(a) $\kappa(G) \geq 2$;

(b) $\kappa'(G) \geq k - 1$.

Moreover, for each $k \geq 3$ there are infinitely many k -critical graphs with connectivity exactly 2.

Proof of (a): Suppose our k -critical G is disconnected and G_1 is a component of G . Since G is k -critical, its proper subgraphs G_1 and $G - V(G_1)$ have chromatic number at most $k - 1$. Let f_1 and f_2 be their $(k - 1)$ -colorings. Then $f_1 \cup f_2$ is a $(k - 1)$ -coloring of G , a contradiction.

Theorem 5.6. Let $k \geq 3$ and G be a k -critical graph. Then

(a) $\kappa(G) \geq 2$;

(b) $\kappa'(G) \geq k - 1$.

Moreover, for each $k \geq 3$ there are infinitely many k -critical graphs with connectivity exactly 2.

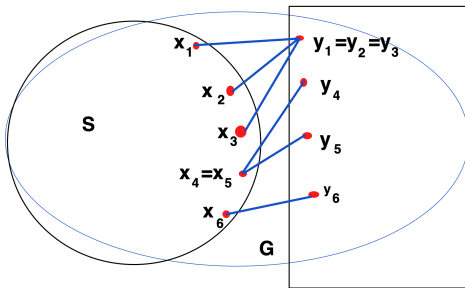
Proof of (a): Suppose our k -critical G is disconnected and G_1 is a component of G . Since G is k -critical, its proper subgraphs G_1 and $G - V(G_1)$ have chromatic number at most $k - 1$. Let f_1 and f_2 be their $(k - 1)$ -colorings. Then $f_1 \cup f_2$ is a $(k - 1)$ -coloring of G , a contradiction.

Suppose now G is connected and v is a cut vertex in G . Let G' be a component of $G - v$, $G_1 = G - V(G')$ and $G_2 = G[V(G') + v]$. Again, since G is k -critical, for $i = 1, 2$, G_i has a $(k - 1)$ -coloring f_i . We can rename the colors in f_2 to make $f_2(v) = f_1(v)$. Then again, $f_1 \cup f_2$ is a $(k - 1)$ -coloring of G , a contradiction.

Proof of (b): Suppose $\kappa'(G) \leq k - 2$. Then G has a vertex partition (S, \overline{S}) s.t. $E_G(S, \overline{S}) = \{x_i y_i : 1 \leq i \leq s\}$, where $s \leq k - 2$, $\{x_1, \dots, x_s\} \subset S$ and $\{y_1, \dots, y_s\} \subset \overline{S}$. Note that x_i s **do not need** to be all distinct and y_i s **do not need** to be all distinct.

Proof of (b): Suppose $\kappa'(G) \leq k - 2$. Then G has a vertex partition (S, \overline{S}) s.t. $E_G(S, \overline{S}) = \{x_i y_i : 1 \leq i \leq s\}$, where $s \leq k - 2$, $\{x_1, \dots, x_s\} \subset S$ and $\{y_1, \dots, y_s\} \subset \overline{S}$. Note that x_i s **do not need** to be all distinct and y_i s **do not need** to be all distinct.

Let $G_1 = G[S]$ and $G_2 = G[\overline{S}]$. Since G is **k -critical**, for $i = 1, 2$, G_i has a **$(k - 1)$ -coloring** f_i .



We try to rename the colors of f_2 so that $f_1(x_i) \neq f_2(y_i)$ for all $1 \leq i \leq s$. There are $(k-1)!$ ways to rename these $k-1$ colors. Each of the edges $x_i y_i$ spoils the $(k-2)!$ cases where $f_1(x_i) = f_2(y_i)$.

Then the number of ways to rename the colors which are not spoiled is at least

$$(k-1)! - s((k-2)!) = (k-2)!((k-1) - s) \geq (k-2)! > 0.$$

Hence we can rename the colors in f_2 so that $f_1 \cup f_2$ is a $(k-1)$ -coloring of G , a contradiction.

We try to rename the colors of f_2 so that $f_1(x_i) \neq f_2(y_i)$ for all $1 \leq i \leq s$. There are $(k-1)!$ ways to rename these $k-1$ colors. Each of the edges $x_i y_i$ spoils the $(k-2)!$ cases where $f_1(x_i) = f_2(y_i)$.

Then the number of ways to rename the colors which are not spoiled is at least

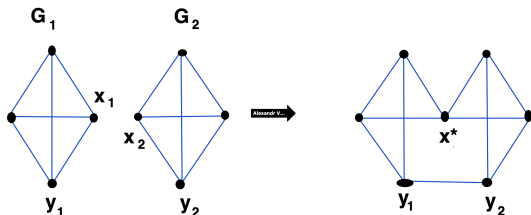
$$(k-1)! - s((k-2)!) = (k-2)!((k-1) - s) \geq (k-2)! > 0.$$

Hence we can rename the colors in f_2 so that $f_1 \cup f_2$ is a $(k-1)$ -coloring of G , a contradiction.

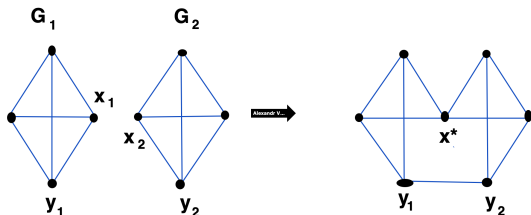
Proof of the "Moreover" part: We describe the Hájos Construction that creates from two k -critical graphs a new k -critical graph with connectivity exactly 2.

- 1) Take two disjoint k -critical graphs G_1 and G_2 .
- 2) Choose an edge x_1y_1 in G_1 and an edge x_2y_2 in G_2 .
- 3) Delete the edges x_1y_1 and x_2y_2 , glue x_2 with x_1 into a new vertex x^* , add edge y_1y_2 . Call new graph G^* .

- 1) Take two disjoint k -critical graphs G_1 and G_2 .
- 2) Choose an edge x_1y_1 in G_1 and an edge x_2y_2 in G_2 .
- 3) Delete the edges x_1y_1 and x_2y_2 , glue x_2 with x_1 into a new vertex x^* , add edge y_1y_2 . Call new graph G^* .



- 1) Take two disjoint k -critical graphs G_1 and G_2 .
- 2) Choose an edge x_1y_1 in G_1 and an edge x_2y_2 in G_2 .
- 3) Delete the edges x_1y_1 and x_2y_2 , glue x_2 with x_1 into a new vertex x^* , add edge y_1y_2 . Call new graph G^* .



By construction, set $\{x^*, y_1\}$ is separating in G^* . So $\kappa(G^*) = 2$.

Now we show that G^* is k -critical.

Suppose G^* has a $(k - 1)$ -coloring f . Since $f|_{V(G_1)}$ is NOT a $(k - 1)$ -coloring of G_1 , $f(x^*) = f(y_1)$. Similarly, $f(x^*) = f(y_2)$. But then $f(y_1) = f(y_2)$, a contradiction.

Now we show that G^* is k -critical.

Suppose G^* has a $(k-1)$ -coloring f . Since $f|_{V(G_1)}$ is NOT a $(k-1)$ -coloring of G_1 , $f(x^*) = f(y_1)$. Similarly, $f(x^*) = f(y_2)$. But then $f(y_1) = f(y_2)$, a contradiction.

Consider $G^* - y_1y_2$. Since G_1 and G_2 are k -critical, for $i = 1, 2$, $G_i - x_iy_i$ has a $(k-1)$ -coloring f_i , and $f_i(y_i) = f_i(x_i)$. Then after permuting the colors in f_2 so that $f_2(x_2) = f_1(x_1)$, we get that $f_1 \cup f_2$ is a $(k-1)$ -coloring of $G^* - y_1y_2$.

Now we show that G^* is k -critical.

Suppose G^* has a $(k-1)$ -coloring f . Since $f|_{V(G_1)}$ is NOT a $(k-1)$ -coloring of G_1 , $f(x^*) = f(y_1)$. Similarly, $f(x^*) = f(y_2)$. But then $f(y_1) = f(y_2)$, a contradiction.

Consider $G^* - y_1y_2$. Since G_1 and G_2 are k -critical, for $i = 1, 2$, $G_i - x_iy_i$ has a $(k-1)$ -coloring f_i , and $f_i(y_i) = f_i(x_i)$. Then after permuting the colors in f_2 so that $f_2(x_2) = f_1(x_1)$, we get that $f_1 \cup f_2$ is a $(k-1)$ -coloring of $G^* - y_1y_2$.

Finally, let uv be any other edge of G^* . By symmetry, we may assume $\{u, v\} \subset V(G_1)$ (or one of them is x^*). Then $G_1 - uv$ has a $(k-1)$ -coloring f_1 and $G_2 - x_2y_2$ has a $(k-1)$ -coloring f_2 . After permuting the colors in f_2 so that $f_2(x_2) = f_1(x_1)$, we get that $f_1 \cup f_2$ is a $(k-1)$ -coloring of $G^* - uv$.

Definitions

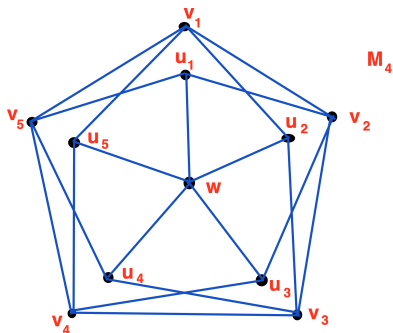
Mycielski's Construction: $M_3 = C_5$. Suppose M_k is a triangle-free graph with $\chi(M_k) = k$ and

$V(M_k) = V_k = \{v_1, \dots, v_{n_k}\}$. Let $V'_k = \{u_1, \dots, u_{n_k}\}$. Then

$V(M_{k+1}) = V_k \cup V'_k \cup \{w\}$, $M_{k+1}[V_k] = M_k$, $N_{M_{k+1}}(w) = V'_k$ and for each $1 \leq j \leq n_k$, $N_{M_{k+1}}(u_j) = N_{M_k}(v_j) \cup \{w\}$.

Definitions

Mycielski's Construction: $M_3 = C_5$. Suppose M_k is a **triangle-free graph** with $\chi(M_k) = k$ and $V(M_k) = V_k = \{v_1, \dots, v_{n_k}\}$. Let $V'_k = \{u_1, \dots, u_{n_k}\}$. Then $V(M_{k+1}) = V_k \cup V'_k \cup \{w\}$, $M_{k+1}[V_k] = M_k$, $N_{M_{k+1}}(w) = V'_k$ and for each $1 \leq i \leq n_k$, $N_{M_{k+1}}(u_i) = N_{M_k}(v_i) \cup \{w\}$.



Theorem 5.7: For every $k \geq 3$, M_k is **triangle-free** and $\chi(M_k) \geq k$.

Proof. For $k = 3$ this is **trivial**.

Theorem 5.7: For every $k \geq 3$, M_k is **triangle-free** and $\chi(M_k) \geq k$.

Proof. For $k = 3$ this is **trivial**.

Suppose the theorem **holds for all $k \leq k_0$** , but $\chi(M_{k_0+1}) = k_0$.
Let f be a **k_0 -coloring** of M_{k_0+1} . We may assume that $f(w) = k_0$.

Theorem 5.7: For every $k \geq 3$, M_k is triangle-free and $\chi(M_k) \geq k$.

Proof. For $k = 3$ this is trivial.

Suppose the theorem holds for all $k \leq k_0$, but $\chi(M_{k_0+1}) = k_0$. Let f be a k_0 -coloring of M_{k_0+1} . We may assume that $f(w) = k_0$.

Then color k_0 is not used on V'_{k_0} . Let $W = \{v_{i_1}, \dots, v_{i_s}\}$ be the set of the vertices in V_{k_0} colored with k_0 . We will recolor them: for each $1 \leq j \leq s$, recolor v_{i_j} with $f(u_{i_j})$. Then color k_0 is not used in the new coloring f' of M_k .

Theorem 5.7: For every $k \geq 3$, M_k is triangle-free and $\chi(M_k) \geq k$.

Proof. For $k = 3$ this is trivial.

Suppose the theorem holds for all $k \leq k_0$, but $\chi(M_{k_0+1}) = k_0$. Let f be a k_0 -coloring of M_{k_0+1} . We may assume that $f(w) = k_0$.

Then color k_0 is not used on V'_{k_0} . Let $W = \{v_{i_1}, \dots, v_{i_s}\}$ be the set of the vertices in V_{k_0} colored with k_0 . We will recolor them: for each $1 \leq j \leq s$, recolor v_{i_j} with $f(u_{i_j})$. Then color k_0 is not used in the new coloring f' of M_k .

We claim that

$$f'(v_i) \neq f'(v_j) \quad \text{for each edge } v_i v_j \in E(M_{k_0}). \quad (1)$$

Indeed, suppose $f'(v_i) = f'(v_j)$. If $f(v_i) \neq k_0$ and $f(v_j) \neq k_0$, then the colors of v_i and v_j did not change, but $f(v_i) \neq f(v_j)$, a contradiction. If $f(v_i) = k_0 = f(v_j)$, then v_i and v_j **cannot be adjacent**.

Indeed, suppose $f'(v_i) = f'(v_j)$. If $f(v_i) \neq k_0$ and $f(v_j) \neq k_0$, then the colors of v_i and v_j did not change, but $f(v_i) \neq f(v_j)$, a contradiction. If $f(v_i) = k_0 = f(v_j)$, then v_i and v_j **cannot be adjacent**.

So, we may assume $f(v_i) = k_0$ and $f(v_j) \neq k_0$. This means $f(u_i) = f(v_j)$. But $u_i v_j \in E(M_{k_0+1})$, a contradiction.

Indeed, suppose $f'(v_i) = f'(v_j)$. If $f(v_i) \neq k_0$ and $f(v_j) \neq k_0$, then the colors of v_i and v_j did not change, but $f(v_i) \neq f(v_j)$, a contradiction. If $f(v_i) = k_0 = f(v_j)$, then v_i and v_j **cannot be adjacent**.

So, we may assume $f(v_i) = k_0$ and $f(v_j) \neq k_0$. This means $f(u_i) = f(v_j)$. But $u_i v_j \in E(M_{k_0+1})$, a contradiction.

This shows that the difference $\chi(G) - \omega(G)$ and the ratio $\frac{\chi(G)}{\omega(G)}$ can be **arbitrarily large**.