# Graph coloring. Part 4 

Lecture 35

For $k \geq 1$, a graph $G$ is $k$-critical, if $\chi(G)=k$, but for each proper subgraph $G^{\prime}$ of $G$,

$$
\chi\left(G^{\prime}\right) \leq k-1
$$

Theorem 5.6. Let $k \geq 3$ and $G$ be a $k$-critical graph. Then (a) $\kappa(G) \geq 2$;
(b) $\kappa^{\prime}(G) \geq k-1$.

Moreover, for each $k \geq 3$ there are infinitely many $k$-critical graphs with connectivity exactly 2.

Proof of the "Moreover" part: We describe the Hájos Construction that creates from two $k$-critical graphs a new $k$-critical graph with connectivity exactly 2.

1) Take two disjoint $k$-critical graphs $G_{1}$ and $G_{2}$.
2) Choose an edge $x_{1} y_{1}$ in $G_{1}$ and an edge $x_{2} y_{2}$ in $G_{2}$.
3) Delete the edges $x_{1} y_{1}$ and $x_{2} y_{2}$, glue $x_{2}$ with $x_{1}$ into a new vertex $x^{*}$, add edge $y_{1} y_{2}$. Call new graph $G^{*}$.
4) Take two disjoint $k$-critical graphs $G_{1}$ and $G_{2}$.
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By construction, set $\left\{x^{*}, y_{1}\right\}$ is separating in $G^{*}$. So $\kappa\left(G^{*}\right)=2$.

Now we show that $G^{*}$ is $k$-critical.
Suppose $G^{*}$ has a $(k-1)$-coloring $f$. Since $\left.f\right|_{v\left(G_{1}\right)}$ is NOT a $(k-1)$-coloring of $G_{1}, f\left(x^{*}\right)=f\left(y_{1}\right)$. Similarly, $f\left(x^{*}\right)=f\left(y_{2}\right)$. But then $f\left(y_{1}\right)=f\left(y_{2}\right)$, a contradiction.

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Consider $G^{*}-y_{1} y_{2}$. Since $G_{1}$ and $G_{2}$ are $k$-critical, for $i=1,2$, $G_{i}-x_{i} y_{i}$ has a $(k-1)$-coloring $f$, and $f_{i}\left(y_{i}\right)=f_{i}\left(x_{i}\right)$. Then after permuting the colors in $f_{2}$ so that $f_{2}\left(x_{2}\right)=f_{1}\left(x_{1}\right)$, we get that $f_{1} \cup f_{2}$ is a $(k-1)$-coloring of $G^{*}-y_{1} y_{2}$.

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Finally, let $u v$ be any other edge of $G^{*}$. By symmetry, we may assume $\{u, v\} \subset V\left(G_{1}\right)$ (or one of them is $x^{*}$ ). Then $G_{1}-u v$ has a $(k-1)$-coloring $f_{1}$ and $G_{2}-x_{2} y_{2}$ has a $(k-1)$-coloring $f_{2}$. After permuting the colors in $f_{2}$ so that $f_{2}\left(x_{2}\right)=f_{1}\left(x_{1}\right)$, we get that $f_{1} \cup f_{2}$ is a $(k-1)$-coloring of $G^{*}-u v$.

## Definitions

Mycielski's Construction: $M_{3}=C_{5}$. Suppose $M_{k}$ is a triangle-free graph with $\chi\left(M_{k}\right)=k$ and
$V\left(M_{k}\right)=V_{k}=\left\{v_{1}, \ldots, v_{n_{k}}\right\}$. Let $V_{k}^{\prime}=\left\{u_{1}, \ldots, u_{n_{k}}\right\}$. Then
$V\left(M_{k+1}\right)=V_{k} \cup V_{k}^{\prime} \cup\{w\}, M_{k+1}\left[V_{k}\right]=M_{k}, N_{M_{k+1}}(w)=V_{k}^{\prime}$ and for each $1 \leq j \leq n_{k}, N_{M_{k+1}}\left(u_{j}\right)=N_{M_{k}}\left(v_{j}\right) \cup\{w\}$.

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Then color $k_{0}$ is not used on $V_{k_{0}}^{\prime}$. Let $W=\left\{v_{i_{1}}, \ldots, v_{i_{s}}\right\}$ be the set of the vertices in $V_{k_{0}}$ colored with $k_{0}$. We will recolor them: for each $1 \leq j \leq s$, recolor $v_{i_{j}}$ with $f\left(u_{i_{j}}\right)$. Then color $k_{0}$ is not used in the new coloring $f^{\prime}$ of $M_{k}$.

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We claim that

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\begin{equation*}
f^{\prime}\left(v_{i}\right) \neq f^{\prime}\left(v_{j}\right) \quad \text { for each edge } v_{i} v_{j} \in E\left(M_{k_{0}}\right) \tag{1}
\end{equation*}
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Indeed, suppose $f^{\prime}\left(v_{i}\right)=f^{\prime}\left(v_{j}\right)$. If $f\left(v_{i}\right) \neq k_{0}$ and $f\left(v_{j}\right) \neq k_{0}$, then the colors of $v_{i}$ and $v_{j}$ did not change, but $f\left(v_{i}\right) \neq f\left(v_{j}\right)$, a contradiction. If $f\left(v_{i}\right)=k_{0}=f\left(v_{j}\right)$, then $v_{i}$ and $v_{j}$ cannot be adjacent.

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So, we may assume $f\left(v_{i}\right)=k_{0}$ and $f\left(v_{j}\right) \neq k_{0}$. This means $f\left(u_{i}\right)=f\left(v_{j}\right)$. But $u_{i} v_{j} \in E\left(M_{k_{0}+1}\right)$, a contradiction.

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This shows that the difference $\chi(G)-\omega(G)$ and the ratio $\frac{\chi(G)}{\omega(G)}$ can be arbitrarily large.

## Brooks' Theorem

Recall that $\chi\left(K_{n}\right)=n=\Delta\left(K_{n}\right)+1$ and
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Proof. Fix $k \geq 3$. Suppose the theorem does not hold for this $k$. Choose a counter-example $G$ with the smallest $|V(G)|+|E(G)|$. By the minimality, $G$ is $(k+1)$-critical. So, by Theorem 5.6, $G$ is 2-connected and $k$-regular. Let $n=|V(G)|$.

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Since $G$ is not a complete graph, it has vertices $v_{1}, v_{2}, v_{3}$ such that $v_{1} v_{2}, v_{2} v_{3} \in E(G)$ and $v_{1} v_{3} \notin E(G)$.

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Case 1: $h \leq n-1$. Let $j$ be the smallest index s.t. $x_{j} x_{h} \in E(G)$. Then $G$ has cycle $C=x_{j}, x_{j+1}, \ldots, x_{h}, x_{j}$, and $N\left(x_{h}\right) \subseteq V(C)$.

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Since $G$ is connected, we can cyclically rename the vertices of $C$ as $y_{j}, y_{j+1}, \ldots, y_{h}, y_{j}$ so that $N\left(y_{h}\right) \subseteq V(C)$ and $y_{j}$ has a neighbor $y_{j}^{\prime}$ outside of $C$.

Since $G$ is $(k+1)$-critical, graph $G^{\prime}=G-V(C)$ has a coloring $f$ with colors $1, \ldots, k$. We may assume that $f\left(y_{j}^{\prime}\right)=1$.

We now extend $f$ greedily to $V(C)$ using the order $y_{h}, y_{h-1}, \ldots, y_{j}$ of the vertices of $C$. In particular, $f\left(y_{h}\right)=1$.

We claim that no more than $k$ colors will be used: If $i>j$, then the neighbor $y_{i-1}$ of $y_{i}$ is not colored, and hence $y_{i}$ has at most $k-1$ forbidden colors. If $i=j$, then $y_{i}$ has two neighbors, $y_{h}$ and $y_{j}^{\prime}$, of the same color. This contradicts the choice of $G$.

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Case 2: $h=n$, i.e. $P=x_{1}, \ldots, x_{n}$. Since $k \geq 3, x_{2}$ has a neighbor $x_{j}$ for some $j \geq 4$. Consider a greedy coloring of $G$ w.r.t. order

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x_{1}, x_{3}, x_{4}, \ldots, x_{j-1}, x_{n}, x_{n-1}, \ldots, x_{j}, x_{2} .
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In this process, every $x_{i} \neq x_{2}$ at the moment of coloring has an uncolored neighbor, and hence gets a color $m \leq k$.

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On the other hand, $x_{2}$ has two neighbors, $x_{1}$ and $x_{3}$, of the same color. This proves the theorem.

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Theorem 5.9. If $\Delta(G) \leq 7$ and $\omega(G) \leq 3$, then $\chi(G) \leq 6$.
Proof: Consider a partition $V(G)=V_{1} \cup V_{2}$ of $V(G)$

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\begin{equation*}
\text { with the maximum }\left|E_{G}\left(V_{1}, V_{2}\right)\right| \text {. } \tag{2}
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Indeed, if say $v \in V_{1}$ has $d_{G_{1}} \geq 4$, then the partition ( $V_{1}-v, V_{2}+v$ ) has more edges between the sets, a contradiction to (2). This proves (3).

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Then by Brooks' Theorem, $\chi\left(G_{1}\right) \leq 3$ and $\chi\left(G_{2}\right) \leq 3$. Hence, $\chi(G) \leq 3+3=6$.

