

# Trees and distance

## Lecture 9

## Characterization of trees

**Theorem 2.2 (A characterization of trees):** Let  $n \geq 1$ . For an  $n$ -vertex graph  $G$ , the following are equivalent

- (A)  $G$  is connected and **has no cycles**.
- (B)  $G$  is **connected** and has  $n - 1$  edges.
- (C)  $G$  **has no cycles** and has  $n - 1$  edges.
- (D) For any  $u, v \in V(G)$ ,  $G$  has **exactly one**  $u, v$ -path.
- (F) Adding to  $G$  any edge creates a graph with **exactly one cycle**.

## Distances in graphs

Let  $G$  be a graph and let  $u, v \in V(G)$ .

If  $u$  and  $v$  are in the same component of  $G$ , then the **distance** from  $u$  to  $v$  is the length of the shortest  $u, v$ -path in  $G$ , and we write  $d_G(u, v)$  for this (or often just  $d(u, v)$ ). If  $u$  and  $v$  are in **different components**, then we define  $d_G(u, v) = \infty$ .

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The **eccentricity** of  $u$  in  $G$ , denoted  $\text{ecc}(u)$  or  $\epsilon(u)$  is the maximum distance from  $u$  to another vertex in  $G$ , or

$$\text{ecc}(u) = \max_{v \in V(G)} d(u, v).$$

The **diameter** of  $G$ ,  $\text{diam}(G)$ , is defined as

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The **center** of a graph  $G$  is the induced subgraph of  $G$  whose vertex set is the set of all vertices of eccentricity  $\text{rad}(G)$ .

The center could be **the whole graph**.



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**Proof. Base:**  $n \leq 2$ .

**Induction step.** Suppose the theorem holds for all trees with less than  $n$  vertices. Take any tree  $T$  with  $n$  vertices.

Let  $L$  be the **set of leaves** in  $T$  and  $T' = T - L$ .

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By Lemma 2.1 (b),  $T'$  is a tree. We claim that

$$\text{for each } u \in V(T'), \epsilon_{T'}(u) = \epsilon_T(u) - 1. \quad (1)$$

Indeed, each longest path in  $T$  starting from  $u$  **ends at a leaf** (which is not in  $T'$ ). This shows **inequality  $\leq$** .

On the other hand, if  $uv_1v_2 \dots v_{k-1}v_k$  is **a longest path in  $T$  starting from  $u$** , then all vertices  $u, v_1, v_2, \dots, v_{k-1}$  are in  $T'$ . Hence we also have  **$\geq$** .

By (1), the center of  $T'$  is the same as in  $T$ .

This proves Theorem 2.3.

# Coding of labeled trees

Among ways to code a graph are adjacency and incidence matrices. For **labeled trees**, there are nicer and shorter ways to code.

Consider the following procedure for a tree  $T$  with vertex set  $\{1, \dots, n\}$ :

**Prüfer algorithm.** Let  $T_0 = T$ . For  $i = 1, \dots, n - 1$ ,

- (a) let  $b_i$  be the smallest leaf in  $T_{i-1}$ ,
- (b) denote by  $a_i$  **the neighbor of  $b_i$**  in  $T_{i-1}$ , and
- (c) let  $T_i = T_{i-1} - b_i$ .

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The **Prüfer code** of  $T$  is the vector  $(a_1, \dots, a_{n-2})$ .

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**Proofs.** (P1) follows from the fact that we always have a leaf distinct from  $n$ .

(P2) follows from the facts that at the moment some  $k$  appears in  $(a_1, \dots, a_{n-2})$ , its degree decreases by 1 and for  $s \geq 3$  the neighbors of leaves in  $s$ -vertex trees are not leaves.

(P3) follows from the algorithm and (P2).

**Theorem 2.4 (Prüfer, 1918):** Every vector  $(a_1, \dots, a_{n-2})$  with  $a_i \in \{1, \dots, n\}$  for each  $i$  is the **Prüfer code** of exactly one labeled  $n$ -vertex tree.

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**Proof. Uniqueness.** By (P1) we know  $a_{n-1} = n$ . Then by (P3), we can reconstruct  $b_i$  for all  $1 \leq i \leq n-1$ . Thus the edges are  $a_1 b_1, \dots, a_{n-1} b_{n-1}$ .

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**Existence.** Given  $(a_1, \dots, a_{n-2})$ , we let  $a_{n-1} = n$  and define numbers  $b_i$  by (P3). Now consider the edges going from  $a_{n-1} b_{n-1}$  backwards and check that for each  $i$ ,  $b_i$  is a leaf in the graph formed by the edges  $a_i b_i, \dots, a_{n-1} b_{n-1}$ . □

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**Corollary 2.5 (Cayley's Formula, Borchardt 1860):** There are  $n^{n-2}$  labeled  $n$ -vertex trees.